



FORMAL TRADE LETTER



OC000000005006722

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,480	01/28/2000	Martin A. Cheever	0140580-009810

TOWNSEND AND TOWNSEND AND CREW LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111

Date Mailed: 03/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2102.
 - \$1764 for 98 total claims over 20.
 - \$78 for 1 independent claims over 3.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2922.

A copy of this notice MUST be returned with the reply.

Stamps T.C.

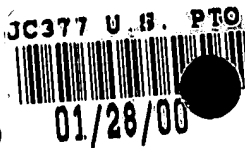
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2000 GTEFFERA 00000023 201430 09493480

01 FC:101	690.00 CH
02 FC:103	936.00 CH
03 FC:102	78.00 CH
04 FC:105	130.00 CH



1-31-00

A

Customer No. 20350
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

Attorney Docket No. 0140580-009810

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

"Express Mail" Label No. EL394876928US
Date of Deposit: January 28, 2000

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

By:

John Fonteyn

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☒ patent application of
- ☐ continuation patent application of
- ☐ divisional patent application of
- ☐ continuation-in-part patent application of

Inventor(s)/Applicant Identifier: Martin A. Cheever and Dirk Gheysen

For: HER-2/NEU FUSION PROTEINS

☒ This application claims priority from each of the following Application Nos./filing dates:

60/117,976, filed 01/29/99

the disclosure(s) of which is (are) incorporated by reference.

☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ Title Page
- ☒ 80 sheet(s) of specification
- ☒ 11 sheet(s) of claims
- ☒ 32 sheet(s) of ☐ formal ☒ informal drawing(s).
- ☐ An assignment of the invention to _____
- ☐ A ☐ signed ☐ unsigned Declaration & Power of Attorney
- ☒ A ☐ signed ☒ unsigned Declaration.
- ☒ Abstract

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f), Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

Annette S. Parent

Annette S. Parent
Reg No.: 42,058
Attorneys for Applicant

Telephone:
(415) 576-0200

Facsimile:
(415) 576-0300



sector

Attorney Docket No. 014058-009810

By Jennifer K. Hardin
Jennifer K. Hardin

Examiner: Unassigned

Art Unit: 1646

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

For: HER-2/NEU FUSION PROTEINS

Sir:

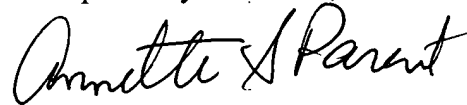
- 1) Executed Declaration
- 2) Copy of Notice of Missing Parts
- 3) Petition to Extend Time

Please charge Deposit Account No. 20-1430 for the following fees:

(a) Filing Fee (§ 127) (Large Entity)	\$ 690.00
(b) Excess Claims Fees (§ 1.16(b), (c)):	
$92 - 20 = 52 \times 18 =$	\$936.00
$4 - 3 = 1 \times 78 =$	\$ 78.00
(c) Missing Parts Surcharge	\$ 130.00
TOTAL FEES TO BE CHARGED	\$1834.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Annette S. Parent
Reg. No. 42,058

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200 / Fax (415) 576-0300
1100807